

PATENT
P57001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

TAE-SUNG KIM

Serial No.: 10/766,564

Examiner: ERDEM, F.

Filed: 20 January 2004

Art Unit: 2826

For: NOVEL CONDUCTIVE ELEMENTS FOR THIN FILM TRANSISTORS USED IN
A FLAT PANEL DISPLAY

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O.Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. §1.56, and §§1.97 and 1.98 as amended, Applicant cites and describes the following art references. In accordance with the new regulation set forth in the *Official Gazette Notices: 05 August 2003* (a copy attached herewith), a copy of the U.S. patent references cited below is not attached.

1. U.S. Patent No. 6,180,511 to Kim *et al.*, entitled *METHOD FOR FORMING INTERMETAL DIELECTRIC OF SEMICONDUCTOR DEVICE* issued on January 30, 2001;
2. U.S. Patent Application No. 2002/0085157 to Tanaka *et al.*, entitled *ACTIVE MATRIX ADDRESSING LIQUID-CRYSTAL DISPLAY DEVICE*, published on July 4, 2002;
3. U.S. Patent No. 6,674,502 to Terakado *et al.*, entitled *LIQUID CRYSTAL DISPLAY*

WITH NITRIDED INSULATING SUBSTRATE FOR TFT, issued on January 6, 2004;

4. U.S. Patent No. 6,642,093 to Kubo *et al.*, entitled *METHOD FOR MANUFACTURING A SEMICONDUCTOR DEVICE*, issued on November 4, 2003;
5. U.S. Patent No. 6,608,353 to Miyazaki *et al.*, entitled *THIN FILM TRANSISTOR HAVING PIXEL ELECTRODE CONNECTED TO A LAMINATE STRUCTURE*, issued on August 19, 2003;
6. U.S. Patent No. 6,534,393 to Zhou *et al.*, entitled *METHOD FOR FABRICATING LOCAL METAL INTERCONNECTIONS WITH LOW CONTACT RESISTANCE AND GATE ELECTRODES WITH IMPROVED ELECTRICAL CONDUCTIVITY*, issued on March 18, 2003;
7. U.S. Patent No. 6,518,630 to You *et al.*, entitled *THIN FILM TRANSISTOR ARRAY SUBSTRATE FOR LIQUID CRYSTAL DISPLAY AND METHOD FOR FABRICATING SAME*, issued on February 11, 2003;
8. U.S. Patent No. 6,410,986 to Merchant *et al.*, entitled *MULTI-LAYERED TITANIUM NITRIDE BARRIER STRUCTURE*, issued on June 25, 2002;
9. U.S. Patent No. 6,380,625 to Pramanick *et al.*, entitled *SEMICONDUCTOR INTERCONNECT BARRIER AND MANUFACTURING METHOD THEREOF*, issued on April 30, 2002;
10. U.S. Patent No. 6,365,927 to Cuchiario *et al.*, entitled *FERROELECTRIC INTEGRATED CIRCUIT HAVING HYDROGEN BARRIER LAYER*, issued on April 2, 2002;

11. U.S. Patent No. 6,309,965 to Matshitsch *et al.*, entitled *METHOD OF PRODUCING A SEMICONDUCTOR BODY WITH METALLIZATION ON THE BACK SIDE THAT INCLUDES A TITANIUM NITRIDE LAYER TO REDUCE WARPING*, issued on October 30, 2001;
12. U.S. Patent No. 6,224,942 to Leiphart, entitled *METHOD OF FORMING AN ALUMINUM COMPRISING LINE HAVING A TITANIUM NITRIDE COMPRISING LAYER THEREON*, issued on May 1, 2001;
13. U.S. Patent No. 6,096,572 to Nakamura, entitled *MANUFACTURING METHOD AND SEMICONDUCTOR DEVICE WITH LOW CONTACT RESISTANCE BETWEEN TRANSPARENT ELECTRODE AND PAD ELECTRODE*, issued on August 1, 2000;
14. U.S. Patent No. 5,759,916 to Hsu *et al.*, entitled *METHOD FOR FORMING A VOID-FREE TITANIUM NITRIDE ANTI-REFLECTIVE COATING (ARC) LAYER UPON AN ALUMINUM CONTAINING CONDUCTOR LAYER*, issued on June 2, 1998;
15. U.S. Patent No. 5,341,026 to Harada *et al.*, entitled *SEMICONDUCTOR DEVICE HAVING A TITANIUM AND A TITANIUM COMPOUND MULTILAYER INTERCONNECTION STRUCTURE*, issued on August 23, 1994;
16. U.S. Patent No. 4,976,839 to Inoue, entitled *METHOD OF FORMING A BARRIER LAYER BETWEEN A SILICON SUBSTRATE AND AN ALUMINUM ELECTRODE OF A SEMICONDUCTOR DEVICE*, issued on December 11, 1990;

17. U.S. Patent No. 4,933,296 to Parks *et al.*, entitled *N⁺ AMORPHOUS SILICON THIN FILM TRANSISTORS FOR MATRIX ADDRESSED LIQUID CRYSTAL DISPLAYS*, issued on June 12, 1990;
18. U.S. Patent No. 4,910,580 to Kuecher *et al.*, entitled *METHOD FOR MANUFACTURING A LOW-IMPEDANCE, PLANAR METALLIZATION COMPOSED OF ALUMINUM OR OF AN ALUMINUM ALLOY*, issued on March 20, 1990;
19. U.S. Patent No. 4,778,258 to Parks *et al.*, entitled *PROTECTIVE TAB STRUCTURE FOR USE IN THE FABRICATION OF MATRIX ADDRESSED THIN FILM TRANSISTOR LIQUID CRYSTAL DISPLAYS*, issued on October 18, 1998;
20. U.S. Patent No. 4,646,424 to Parks *et al.*, entitled *DEPOSITION AND HARDENING OF TITANIUM GATE ELECTRODE MATERIAL FOR USE IN INVERTED THIN FILM FIELD EFFECT TRANSISTORS*, issued on March 3, 1987; and
21. U.S. Patent No. 4,511,756 to Moeller *et al.*, entitled *AMORPHOUS SILICON SOLAR CELLS AND A METHOD OF PRODUCING THE SAME*, issued on April 16, 1985.
22. PCT Publication No. WO 99/08322 to Laska *et al.*, entitled *SEMICONDUCTOR WITH METAL COATING ON ITS REAR SURFACES*, published on 18 February 1999;
23. Japanese Patent Publication No. 09-213656 to Ishida, entitled *SEMICONDUCTOR DEVICE AND METHOD OF MANUFACTURING IT*, published on August 15, 1997;

24. Japanese Patent Publication No. 04-265757 to Kawasaki, entitled *THIN FILM TYPE THERMAL HEAD*, published on September 21, 1992;
25. Japanese Patent Publication No. 64-19763 to Shankar *et al.*, entitled *IMPROVED INTEGRATED CIRCUIT STRUCTURE AND ITS FORMATION*, published on January 23, 1989;
26. Japanese Patent Publication No. 59-232464 to Morimitsu *et al.*, entitled *COMPOUND SEMICONDUCTOR DEVICE*, published on December 27, 1984;
27. Japanese Patent Publication No. 62-221159 to Yanai *et al.*, entitled *FORMATION OF THIN FILM TRANSISTOR MATRIX*, published on September 29, 1987;
28. Japanese Patent Publication No. 62-120076 to Nasu *et al.*, entitled *THIN FILM TRANSISTOR*, published on June 1, 1987;
29. Japanese Patent Publication No. 2000-149766 to Yamada *et al.*, entitled *ELECTRON EMISSION ELEMENT AND DISPLAY DEVICE BY USING IT*, published on May 30, 2000;
30. Japanese Patent Publication No. 11-144709 to Furubayashi *et al.*, entitled *ELECTRODE FOR ELECTROCHEMICAL ELEMENT*, published on May 28, 1999;
31. Japanese Patent Publication No. 08-129292 to Arima *et al.*, entitled *CHARGE GENERATION CONTROL ELEMENT FOR ELECTROSTATIC IMAGE FORMING DEVICE AND ITS PRODUCTION*, published on May 21, 1996; and
32. Japanese Patent Publication No. 64-36083 to Arita, entitled *AMORPHOUS SILICON*

SOLAR CELL, published on February 7, 1989.

Kim *et al.* '511 relates to a method for forming internal dielectric of semiconductor device for reducing chemical mechanical polishing process time.

Tanaka *et al.* '157 relates to an active-matrix addressing liquid crystal display (LCD) device having a so-called active-matrix substrate on which pixel electrodes and thin-film transistors (TFTs) are arranged in a matrix array.

Terakado *et al.* '502 discloses "a liquid crystal display comprising ... a conductive layer formed over said protective layer, said conductive layer including a metallic element; a lower conductive layer provided between said surface and said conductive layer, said lower conductive layer having said metallic element and nitrogen atoms; and an upper conductive layer provided above said conductive layer; said upper conductive layer having said metallic element and nitrogen atoms; wherein said protective layer has a nitrogen concentration of about 10 mol % or more at said surface."

Kubo *et al.* '096 discloses "forming a film of aluminum sandwiched by films of titanium nitride on said third interlayer insulation film, and patterning said film of aluminum."

Miyazaki *et al.* '353 discloses "an electronic circuit formed on an insulating substrate and having thin-film transistors (TFTs) comprising semiconductor layers." The thickness of the semiconductor layer is less than 1500 ANG, *e.g.*, between 100 and 750 ANG. A first layer consisting mainly of titanium and nitrogen is formed on the semiconductor layer. A second layer consisting of aluminum is formed on top of the first layer. The first and second layers are patterned into conductive interconnects. The bottom surface of the second layer is substantially totally in intimate contact with the first layer.

Zhou *et al.* '393 discloses in claim 8 that, "the method of claim 1, wherein said metal layer is a multilayer composed of a titanium/titanium nitride barrier layer and an upper layer of aluminum-copper alloy."

You *et al.* '630 (Samsung Electronics Co., Ltd.) discloses "the thin film transistor array substrate of claim 1, wherein the intermetallic compound layer is formed of aluminum-molybdenum alloy, aluminum-titanium alloy, aluminum-tantalum alloy, or aluminum-chrome alloy."

Merchant *et al.* '986 discloses that "a titanium nitride barrier within an integrated contact structure is formed as a multi-layered stack."

Pramanick *et al.* '625 discloses that "the combination of the titanium nitride layer and the second barrier material provide a superior barrier for conductive material layers, such as, copper/copper layers, and copper/aluminum layers."

Cuchiaro *et al.* '927 discloses "a hydrogen diffusion barrier in an integrated circuit is located to inhibit diffusion of hydrogen to a thin film of metal oxide material in an integrated circuit." The hydrogen diffusion barrier comprises at least one of the following nitrides: aluminum titanium nitride ($\text{Al}_{0.2}\text{Ti}_{0.3}\text{N}_{0.6}$), aluminum silicon nitride ($\text{Al}_{0.2}\text{Si}_{0.3}\text{N}_{0.6}$), aluminum niobium nitride ($\text{AlNb}_{0.3}\text{N}_{0.6}$), aluminum tantalum nitride ($\text{AlTa}_{0.3}\text{N}_{0.6}$), aluminum copper nitride ($\text{Al}_{0.2}\text{Cu}_{0.3}\text{N}_{0.4}$), tungsten nitride (WN), and copper nitride ($\text{CDu}_{0.3}\text{N}_{0.2}$).

Matschitsch *et al.* '965 discloses that "on a silicon semiconductor body an aluminum layer and a diffusion barrier layer that includes titanium are provided." A titanium nitride layer is incorporated into the titanium layer because it has been demonstrated that the titanium nitride layer can compensate for a large proportion of the wafer warping that occurs. Matschitsch '965 also has U.S. Patent No. 6,147,403 that discloses that "on a silicon semiconductor body an aluminum layer

and a diffusion barrier layer that includes titanium are provided.”

Leiphart ‘942 discloses in claim 1 that, “a method of forming an aluminum comprising line having a titanium nitride comprising layer”

Nakamura ‘572 discloses that “in a semiconductor device such as a thin film transistor ... the protection layer may be formed of titanium or a laminate layer of a titanium layer and a titanium nitride layer.”

Hsu *et al.* ‘916 discloses in claim 8 that, “the method of claim 7 wherein: the titanium rich titanium nitride layer has a thickness of from about 10 to about 200 angstroms; the substantially stoichiometric titanium nitride layer has a thickness of from about 50 to about 1500 angstroms; and the titanium rich titanium nitride layer promotes adhesion of the substantially stoichiometric titanium nitride layer to the aluminum containing conductor layer.”

Harada *et al.* ‘026 discloses that “the second aluminum interconnection layer includes a titanium layer, a titanium nitride layer and an aluminum alloy layer.”

Inoue ‘839 discloses that “a titanium nitride barrier layer of 50 to 200 nm in thickness is fabricated between a silicon substrate and an aluminum electrode layer of an IC device.”

Parks *et al.* ‘296 discloses in claim 1 that, “a process for the fabrication of thin film field effect transistors in active matrix liquid crystal display devices, said process comprising the sequential steps of: disposing a gate metallization layer pattern on a portion of a first major surface of an insulative substrate, said gate metal comprising titanium, said pattern including gate electrodes.”

Kuecher *et al.* ‘580 discloses that “to improve the planarization and reliability of low-

impedance aluminum metallizations, a substrate provided with a titanium/titanium nitride double layer diffusion barrier layer and having a contact hole is provided or, respectively, filled with an aluminum/silicon alloy sandwich structure composed of a sequence of n aluminum/silicon layers having n-1 intermediate layers of titanium applied thereon, whereby the layer thickness ratio of the titanium intermediate layers to the overall layer thickness d of the metallization behaves like 1:10.”

Parks *et al.* ‘258 discloses in claim 9 that, “the fabrication process of claim 1 in which said source and drain metallization comprises aluminum.”

Parks *et al.* ‘424 discloses in claim 1 that, “a method for deposition of gate electrode material in an inverted thin film field effect transistor, said method comprising the steps of: disposing a layer of silicon oxide on an insulative substrate; disposing a layer of titanium over said silicon oxide layer; coating said titanium layer with a positive photoresist.”

Moeller *et al.* ‘756 discloses “solar cells having a semiconductor body composed of amorphous silicon which is deposited on a substrate coated with aluminum at least on one of its surfaces, with a diffusion barrier layer composed of titanium nitride positioned between the aluminum layer and the semiconductor body.”

Laska ‘322 aims at considerably reducing the warpage of semiconductor wafer edges without affecting adherence on the substrate material. English language Abstract is attached.

Ishida ‘656 relates to the structure and its manufacturing method of the conductive layer formed in the side attachment wall of opening formed in the interlayer insulation film. English language Abstract is attached.

Kawasaki ‘757 relates to a thin film type thermal head having high reliability by preventing breaking of wire caused by energizing a power feed layer. English language Abstract is attached.

Shankar *et al.* '763 aims at reducing the diffusion of aluminum and silicon between a substrate and a second metallic layer by forming a novel multilayered conductive interconnection layer between the substrate and metallic layer and, at the same time, reducing the formation of spikes or hillocks in an aluminum connection layer. English language Abstract is attached.

Morimitsu *et al.* '464 aims at preventing the variation of pinch-off voltage caused by an usual heat treatment in manufacturing process by forming a gate electrode out of two layers in which high-melting-point metal is used for the first layer of the substrate side and aluminum is used for the second layer. English language Abstract is attached.

Yanai '159 aims at reducing the short-circuit defects of a transistor and obtaining a highly reliable thin film transistor matrix by a method wherein, before formation of a gate insulating film and an operating semiconductor layer, the substrate provided with a gate and a gate bus line, is flattened. English language Abstract is attached.

Nasu '076 aims at decreasing an OFF current, increasing ration of the width to the length of a channel, microminiaturizing it and increasing an allowable current, by increasing the length (channel length) of electron running direction at the end of the channel larger than the center part. English language Abstract is attached.

Yamada *et al.* '766 aims at emitting electrons stably with a low voltage by forming an insulator layer on an electron supply layer comprising a metal or a metal compound or a semiconductor, and by forming a metal thin film electrode on the insulator layer, and by making the insulator layer and the metal thin film electrode having at least one island region where the film thickness is reduced gradually. English language Abstract is attached.

Furubayashi *et al.* '709 relates to an electrode for electrochemical element and its

manufacturing method that can efficiently conduct electrons to an inner electroconductive assistant, that can attain a contact with a current collecting part surer and stronger, and that can reduce internal resistance. English language Abstract is attached.

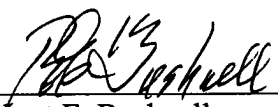
Arima *et al.* '292 relates to a charge generation control element for electrostatic image forming device with which a drastic reduction of line electrode size and an improvement in dimensional accuracy are made possible and a process for production thereof. English language Abstract is attached.

Arita '083 aims at improving the characteristics of an amorphous silicon solar cell by setting the thickness of a titanium or chrome film in a specific range, thereby effectively utilizing a long-wavelength light. English language Abstract is attached.

The citation of the foregoing references is not intended to constitute an assertion that other or more relevant art does not exist. Accordingly, the Examiner is requested to make a wide-ranging and thorough search of the relevant art.

A fee of \$180.00 is incurred by filing of the present information disclosures statement. Applicant's check drawn to the order of Commissioner is attached herewith. Should the check become lost, be deficient in payment, or should other fees be incurred, the Commissioner is authorized to charge Deposit Account No. 02-4943 of Applicant's undersigned attorney in the amount of such fees.

Respectfully submitted,



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Date: 11/5/04
I.D.: REB/kf

INFORMATION DISCLOSURE STATEMENT



PTO-1449 (PAGE 1 OF 2)

SERIAL NUMBER 10/766564

DOCKET NO. P57001

APPLICANT

TAE-SUNG KIM

FILING DATE 29 January 2004

GROUP 2811

U.S. PATENT DOCUMENTS

| EXAMINER | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE |
|----------|-----------------|-------|--------------------|-------|----------|-------------|
| | 6,180,511 | 1/01 | Kim et al. | | | |
| | 2002/0085157 | 7/02 | Tanaka et al. | | | |
| | 6,674,502 | 1/04 | Terakado et al. | | | |
| | 6,642,093 | 11/03 | Kubo et al. | | | |
| | 6,608,353 | 8/03 | Miyazaki et al. | | | |
| | 6,534,393 | 3/03 | Zhou et al. | | | |
| | 6,518,630 | 2/03 | You et al. | | | |
| | 6,410,986 | 6/02 | Merchant et al. | | | |
| | 6,380,625 | 4/02 | Pramanick et al. | | | |
| | 6,365,927 | 4/02 | Cuchiaro et al. | | | |
| | 6,309,965 | 10/01 | Matschitsch et al. | | | |

FOREIGN PATENT DOCUMENTS

TRANSLATION

| DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | YES | NO |
|-----------------|-------|---------|-------|----------|----------|----|
| WO 99/08322 | 2/99 | PCT | | | Abstract | |
| JP09-213656 | 8/97 | Japan | | | Abstract | |
| JP04-265757 | 9/92 | Japan | | | Abstract | |
| JP64-19763 | 1/89 | Japan | | | Abstract | |
| JP59-232464 | 12/84 | Japan | | | Abstract | |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

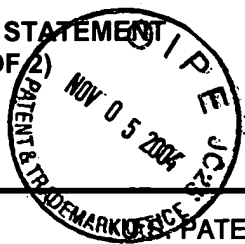
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE STATEMENT
PTO-1449 (PAGE 2 OF 2)



SERIAL NUMBER 10/766564

DOCKET NO. P57001

APPLICANT

TAE-SUNG KIM

FILING DATE 29 January 2004

GROUP 2811

PATENT DOCUMENTS

| EXAMINER INITIALS | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE |
|----------------------|-----------------|-------|----------------|-------|----------|-------------|
| | 6,224,942 | 5/01 | Leiphart | | | |
| | 6,096,572 | 8/00 | Nakamura | | | |
| | 5,759,916 | 6/98 | Hsu et al. | | | |
| | 5,341,026 | 8/94 | Harada et al. | | | |
| | 4,976,839 | 12/90 | Inoue | | | |
| | 4,933,296 | 6/90 | Parks et al. | | | |
| | 4,910,580 | 3/90 | Kuecher et al. | | | |
| | 4,778,258 | 10/88 | Parks et al. | | | |
| | 4,646,424 | 3/87 | Parks et al. | | | |
| | 4,511,756 | 4/85 | Moeller et al. | | | |

FOREIGN PATENT DOCUMENTS

TRANSLATION

| | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | YES | NO |
|--|-----------------|------|---------|-------|----------|---------|----|
| | JP62-221159 | 9/87 | Japan | | | Abstrac | |
| | JP62-120076 | 6/87 | Japan | | | Abstrac | |
| | JP2000-149766 | 5/00 | Japan | | | Abstrac | |
| | JP11-144709 | 5/99 | Japan | | | Abstrac | |
| | JP08-129292 | 5/96 | Japan | | | Abstrac | |
| | JP64-36083 | 2/89 | Japan | | | Abstrac | |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

JPW/18

| <h1 style="margin: 0;">FEE TRANSMITTAL</h1> <p style="margin: 5px 0;">Patent fees are subject to annual revision.</p> <div style="border: 1px solid black; border-radius: 50%; width: 150px; height: 150px; display: flex; align-items: center; justify-content: center; margin: 0 auto;"> <div style="text-align: center;"> <p>NOV 05 2004</p> <p>RECEIVED</p> </div> </div> | | Complete If Known | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| | | Application Number | 10/766,564 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | Filing Date | 29 January 2004 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | First Named Inventor | Tae-Sung KIM | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | Examiner Name | Erdem, F. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Group/Art Unit | 2826 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| TOTAL AMOUNT OF PAYMENT | (\$) 322.00 | Attorney Docket No. | P57001 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| METHOD OF PAYMENT (check one) | | FEE CALCULATION (continued) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any deficiency and credit any over payments to: | | 3. ADDITIONAL FEES | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Deposit Account Number: 02-4943 Deposit Account Number: _____ | | <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr> <td>1051</td> <td>130</td> <td>2051</td> <td>65</td> <td>Surcharge-late filing fee or oath</td> <td>\$</td> </tr> <tr> <td>1052</td> <td>50</td> <td>2052</td> <td>25</td> <td>Surcharge-late provisional filing fee or cover sheet</td> <td>\$</td> </tr> <tr> <td>1053</td> <td>130</td> <td>1053</td> <td>130</td> <td>Non-English specification</td> <td>\$</td> </tr> <tr> <td>1812</td> <td>2,520</td> <td>1812</td> <td>2,520</td> <td>For filing a request for reexamination</td> <td>\$</td> </tr> <tr> <td>1804</td> <td>920*</td> <td>1804</td> <td>920*</td> <td>Requesting publication of SIR prior to Examiner action</td> <td>\$</td> </tr> <tr> <td>1805</td> <td>1,840 *</td> <td>1805</td> <td>1,840*</td> <td>Requesting publication of SIR after Examiner action</td> <td>\$</td> </tr> <tr> <td>1251</td> <td>110</td> <td>2251</td> <td>55</td> <td>Extension for reply within first month</td> <td>\$</td> </tr> <tr> <td>1252</td> <td>430</td> <td>2252</td> <td>215</td> <td>Extension for reply within second month</td> <td>\$</td> </tr> <tr> <td>1253</td> <td>980</td> <td>2253</td> <td>490</td> <td>Extension for reply within third month</td> <td>\$</td> </tr> <tr> <td>1254</td> <td>1,530</td> <td>2254</td> <td>765</td> <td>Extension for reply within fourth month</td> <td>\$</td> </tr> <tr> <td>1255</td> <td>2,080</td> <td>2255</td> <td>1,040</td> <td>Extension for reply within fifth month</td> <td>\$</td> </tr> <tr> <td>1401</td> <td>340</td> <td>2401</td> <td>170</td> <td>Notice of Appeal</td> <td>\$</td> </tr> <tr> <td>1402</td> <td>340</td> <td>2402</td> <td>170</td> <td>Filing a brief in support of an appeal</td> <td>\$</td> </tr> <tr> <td>1403</td> <td>300</td> <td>2403</td> <td>150</td> <td>Request for oral hearing</td> <td>\$</td> </tr> <tr> <td>1451</td> <td>1,510</td> <td>1451</td> <td>1,510</td> <td>Petition to institute a public use proceeding</td> <td>\$</td> </tr> <tr> <td>1452</td> <td>110</td> <td>2452</td> <td>55</td> <td>Petition to revive - unavoidable</td> <td>\$</td> </tr> <tr> <td>1453</td> <td>1,370</td> <td>2453</td> <td>685</td> <td>Petition to revive - unintentional</td> <td>\$</td> </tr> <tr> <td>1501</td> <td>1,370</td> <td>2501</td> <td>685</td> <td>Utility issue fee (or reissue)</td> <td>\$</td> </tr> <tr> <td>1502</td> <td>490</td> <td>2502</td> <td>245</td> <td>Design issue fee</td> <td>\$</td> </tr> <tr> <td>1503</td> <td>660</td> <td>2503</td> <td>320</td> <td>Plant issue fee</td> <td>\$</td> </tr> <tr> <td>1460</td> <td>130</td> <td>1460</td> <td>130</td> <td>Petitions to the Commissioner</td> <td>\$</td> </tr> <tr> <td>1807</td> <td>50</td> <td>1807</td> <td>50</td> <td>Processing fee for provisional applications</td> <td>\$</td> </tr> <tr> <td>1806</td> <td>180</td> <td>1806</td> <td>180</td> <td>Submission of Information Disclosure Statement</td> <td>\$180.00</td> </tr> <tr> <td>8021</td> <td>40</td> <td>8021</td> <td>40</td> <td>Recording each patent assignment per property (Times number of properties)</td> <td>\$</td> </tr> <tr> <td>1005</td> <td>160</td> <td>2005</td> <td>80</td> <td>Provisional application filing fee</td> <td>\$</td> </tr> <tr> <td>1814</td> <td>110</td> <td>2814</td> <td>55</td> <td>Statutory disclaimer</td> <td>\$</td> </tr> <tr> <td>1460</td> <td>130</td> <td></td> <td></td> <td>Petitions to the Director</td> <td>\$</td> </tr> <tr> <td>1801</td> <td>790</td> <td>2801</td> <td>395</td> <td>Request for Continued Examination (RCE)</td> <td>\$</td> </tr> <tr> <td colspan="4"> Other Fee (specify) _____ \$ </td> </tr> <tr> <td colspan="4"> Other Fee (specify) _____ \$ </td> </tr> <tr> <td colspan="4"> ** Reduced by Basic Filing Fee Paid </td> </tr> <tr> <td colspan="4" style="text-align: right;">SUBTOTAL (3) \$180.00</td> </tr> </tbody> </table> | | Large Entity | | Small Entity | | Fee Description | Fee Paid | Fee Code | Fee (\$) | Fee Code | Fee (\$) | 1051 | 130 | 2051 | 65 | Surcharge-late filing fee or oath | \$ | 1052 | 50 | 2052 | 25 | Surcharge-late provisional filing fee or cover sheet | \$ | 1053 | 130 | 1053 | 130 | Non-English specification | \$ | 1812 | 2,520 | 1812 | 2,520 | For filing a request for reexamination | \$ | 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | \$ | 1805 | 1,840 * | 1805 | 1,840* | Requesting publication of SIR after Examiner action | \$ | 1251 | 110 | 2251 | 55 | Extension for reply within first month | \$ | 1252 | 430 | 2252 | 215 | Extension for reply within second month | \$ | 1253 | 980 | 2253 | 490 | Extension for reply within third month | \$ | 1254 | 1,530 | 2254 | 765 | Extension for reply within fourth month | \$ | 1255 | 2,080 | 2255 | 1,040 | Extension for reply within fifth month | \$ | 1401 | 340 | 2401 | 170 | Notice of Appeal | \$ | 1402 | 340 | 2402 | 170 | Filing a brief in support of an appeal | \$ | 1403 | 300 | 2403 | 150 | Request for oral hearing | \$ | 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | \$ | 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | \$ | 1453 | 1,370 | 2453 | 685 | Petition to revive - unintentional | \$ | 1501 | 1,370 | 2501 | 685 | Utility issue fee (or reissue) | \$ | 1502 | 490 | 2502 | 245 | Design issue fee | \$ | 1503 | 660 | 2503 | 320 | Plant issue fee | \$ | 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | \$ | 1807 | 50 | 1807 | 50 | Processing fee for provisional applications | \$ | 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Statement | \$180.00 | 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (Times number of properties) | \$ | 1005 | 160 | 2005 | 80 | Provisional application filing fee | \$ | 1814 | 110 | 2814 | 55 | Statutory disclaimer | \$ | 1460 | 130 | | | Petitions to the Director | \$ | 1801 | 790 | 2801 | 395 | Request for Continued Examination (RCE) | \$ | Other Fee (specify) _____ \$ | | | | Other Fee (specify) _____ \$ | | | | ** Reduced by Basic Filing Fee Paid | | | | SUBTOTAL (3) \$180.00 | | | |
| Large Entity | | Small Entity | | Fee Description | Fee Paid | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1051 | 130 | 2051 | 65 | Surcharge-late filing fee or oath | \$ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1052 | 50 | 2052 | 25 | Surcharge-late provisional filing fee or cover sheet | \$ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1053 | 130 | 1053 | 130 | Non-English specification | \$ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1812 | 2,520 | 1812 | 2,520 | For filing a request for reexamination | \$ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | \$ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1805 | 1,840 * | 1805 | 1,840* | Requesting publication of SIR after Examiner action | \$ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1251 | 110 | 2251 | 55 | Extension for reply within first month | \$ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1252 | 430 | 2252 | 215 | Extension for reply within second month | \$ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1253 | 980 | 2253 | 490 | Extension for reply within third month | \$ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1254 | 1,530 | 2254 | 765 | Extension for reply within fourth month | \$ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1255 | 2,080 | 2255 | 1,040 | Extension for reply within fifth month | \$ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1401 | 340 | 2401 | 170 | Notice of Appeal | \$ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1402 | 340 | 2402 | 170 | Filing a brief in support of an appeal | \$ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1403 | 300 | 2403 | 150 | Request for oral hearing | \$ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | \$ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | \$ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1453 | 1,370 | 2453 | 685 | Petition to revive - unintentional | \$ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1501 | 1,370 | 2501 | 685 | Utility issue fee (or reissue) | \$ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1502 | 490 | 2502 | 245 | Design issue fee | \$ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1503 | 660 | 2503 | 320 | Plant issue fee | \$ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | \$ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1807 | 50 | 1807 | 50 | Processing fee for provisional applications | \$ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Statement | \$180.00 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (Times number of properties) | \$ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1005 | 160 | 2005 | 80 | Provisional application filing fee | \$ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1814 | 110 | 2814 | 55 | Statutory disclaimer | \$ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1460 | 130 | | | Petitions to the Director | \$ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1801 | 790 | 2801 | 395 | Request for Continued Examination (RCE) | \$ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Other Fee (specify) _____ \$ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Other Fee (specify) _____ \$ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| ** Reduced by Basic Filing Fee Paid | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| SUBTOTAL (3) \$180.00 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2. <input checked="" type="checkbox"/> Payment Enclosed: (CHECK #48303) <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| FEE CALCULATION | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1. BASIC FILING FEE <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr> <td>1001</td> <td>790</td> <td>2001</td> <td>395</td> <td>Utility filing fee</td> <td>\$</td> </tr> <tr> <td>1002</td> <td>350</td> <td>2002</td> <td>175</td> <td>Design filing fee</td> <td>\$</td> </tr> <tr> <td>1003</td> <td>550</td> <td>2003</td> <td>275</td> <td>Plant filing fee</td> <td>\$</td> </tr> <tr> <td>1004</td> <td>790</td> <td>2004</td> <td>395</td> <td>Reissue filing fee</td> <td>\$</td> </tr> <tr> <td>1005</td> <td>160</td> <td>2005</td> <td>80</td> <td>Provisional filing fee</td> <td>\$</td> </tr> <tr> <td colspan="5">SUBTOTAL (1)</td> <td>(\$)<u>0.00</u></td> </tr> </tbody> </table> | | Large Entity | | Small Entity | | Fee Description | Fee Paid | Fee Code | Fee (\$) | Fee Code | Fee (\$) | 1001 | 790 | 2001 | 395 | Utility filing fee | \$ | 1002 | 350 | 2002 | 175 | Design filing fee | \$ | 1003 | 550 | 2003 | 275 | Plant filing fee | \$ | 1004 | 790 | 2004 | 395 | Reissue filing fee | \$ | 1005 | 160 | 2005 | 80 | Provisional filing fee | \$ | SUBTOTAL (1) | | | | | (\$)<u>0.00</u> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Large Entity | | Small Entity | | Fee Description | Fee Paid | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1001 | 790 | 2001 | 395 | Utility filing fee | \$ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1002 | 350 | 2002 | 175 | Design filing fee | \$ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1003 | 550 | 2003 | 275 | Plant filing fee | \$ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1004 | 790 | 2004 | 395 | Reissue filing fee | \$ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1005 | 160 | 2005 | 80 | Provisional filing fee | \$ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| SUBTOTAL (1) | | | | | (\$)<u>0.00</u> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2. EXTRA CLAIM FEES <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th></th> <th>Extra Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>-20** = 3 x</td> <td>\$18.00</td> <td>= \$54.00</td> </tr> <tr> <td>Independent Claims</td> <td>-3** = 1 x</td> <td>\$88.00</td> <td>= \$88.00</td> </tr> <tr> <td>Multiple Dependent</td> <td>=</td> <td></td> <td></td> </tr> </tbody> </table> <p>** or number previously paid, if greater; For Reissues, see below</p> <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr> <td>1201</td> <td>88</td> <td>2201</td> <td>44</td> <td>Independent claims in excess of 3</td> </tr> <tr> <td>1202</td> <td>18</td> <td>2202</td> <td>9</td> <td>Claims in excess of 20</td> </tr> <tr> <td>1203</td> <td>300</td> <td>2203</td> <td>150</td> <td>Multiple dependent claim, if not paid</td> </tr> <tr> <td>1204</td> <td>88</td> <td>2204</td> <td>44</td> <td>** Reissue independent claims over original patent</td> </tr> <tr> <td>1205</td> <td>18</td> <td>2205</td> <td>9</td> <td>** Reissue claims in excess of 20 and over original patent</td> </tr> <tr> <td colspan="5">SUBTOTAL (2) (\$)<u>142.00</u></td> </tr> </tbody> </table> | | | Extra Claims | Fee from below | Fee Paid | Total claims | -20** = 3 x | \$18.00 | = \$54.00 | Independent Claims | -3** = 1 x | \$88.00 | = \$88.00 | Multiple Dependent | = | | | Large Entity | | Small Entity | | Fee Description | Fee Code | Fee (\$) | Fee Code | Fee (\$) | 1201 | 88 | 2201 | 44 | Independent claims in excess of 3 | 1202 | 18 | 2202 | 9 | Claims in excess of 20 | 1203 | 300 | 2203 | 150 | Multiple dependent claim, if not paid | 1204 | 88 | 2204 | 44 | ** Reissue independent claims over original patent | 1205 | 18 | 2205 | 9 | ** Reissue claims in excess of 20 and over original patent | SUBTOTAL (2) (\$)<u>142.00</u> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Extra Claims | Fee from below | Fee Paid | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Total claims | -20** = 3 x | \$18.00 | = \$54.00 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Independent Claims | -3** = 1 x | \$88.00 | = \$88.00 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Multiple Dependent | = | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Large Entity | | Small Entity | | Fee Description | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1201 | 88 | 2201 | 44 | Independent claims in excess of 3 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1203 | 300 | 2203 | 150 | Multiple dependent claim, if not paid | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1204 | 88 | 2204 | 44 | ** Reissue independent claims over original patent | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1205 | 18 | 2205 | 9 | ** Reissue claims in excess of 20 and over original patent | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| SUBTOTAL (2) (\$)<u>142.00</u> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |